

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: John F. Engelhardt et al.

Title:

COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Docket No.:

875.074US1

Serial No.: 10/815,262

Filed:

March 31, 2004

Due Date: N/A

Examiner:

Unknown

Group Art Unit: 1636

MS Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (4 pgs.), and copies of 40 cited documents.

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Atty Janet E. Embretor

Reg. No. 39,665

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 320 day of October 2005.

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included a copy of the Published Application cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this Sep day of October, 2005.

TAMES KANYUSIK

Signature

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/815,262 **Application Number** STATEMENT BY APPLICANT (Use as many sheets as necessary) March 31, 2004 Filing Date Engelhardt, John **First Named Inventor Group Art Unit** 1636 OCT 0.5 2005 Unknown **Examiner Name** TRADEAN Attorney Docket No: 875.074US1 Sheet 1 of 4

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(Use as many sheets as necessary)	Filing Date	March 31, 2004
	First Named Inventor	Engelhardt, John
	Group Art Unit	1636
	Examiner Name	Unknown
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Sheet 2 of 4	Attorney Docket No: 8	0/0.0/4001

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EXAMINER DATE CONSIDERED

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	Group Art Unit	1636	
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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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